

# RALPHIE'S HOT TOPIC

August 2012

How to Report a Violation



PROTECT  
YOUR  TEAM

# Reporting Violations

All athletic department staff members, SAs, or CU employees with *direct involvement* in athletics are obligated to report verbally or in writing any violations or possible violations of which they are aware. This includes violations of any NCAA, Pac-12 or CU regulations.

All other individuals (e.g., CU students, PSAs, CU employees *without direct involvement* in athletics, members of the community) are encouraged to report verbally or in writing any alleged, rumored, or suspected violations.

# Reporting Violations

A report of a violation or possible violation can be made to the following:

- ▣ If appropriate, the immediate supervisor of the person reporting;
- ▣ Associate Director of Athletics for Compliance;
- ▣ Director of Athletics, any Associate or Assistant Director of Athletics;
- ▣ Faculty Athletics Representative ("FAR");
- ▣ Chancellor; or
- ▣ Office of University Counsel.

All individuals to whom the violation or possible violation is reported, with the exception of the Compliance Director, are obligated, in turn, to report the violation to the Athletics Compliance Office.

# Reporting Violations

A report of a violation or possible violation should include the following:

- ▣ Date(s) and time(s) of violation;
- ▣ Individual(s) involved in the violation;
- ▣ Description of the violation;
- ▣ Reason(s) the violation occurred; and
- ▣ If applicable, a statement of actions which have been or will be taken in order to prevent a similar violation from recurring.

# What is a violation?

- ▣ A **secondary violation**, is one that **provides only a limited recruiting or competitive advantage and is isolated or inadvertent in nature**. If the NCAA Committee on Infractions **determines that repeated secondary violations have occurred and that CU is not taking appropriate action to prevent such violations, a penalty appropriate for a major violation may be imposed**.
- ▣ Compliance efforts are continuous, and, although the ultimate goal is to have no violations, **a compliance program that encourages self-reporting and conducts monitoring activities *should* report several violations (we reported 19 this past year) per year**.

# What is a Major Infraction?

- ▣ A major violation is one **that provides an extensive recruiting or competitive advantage**. Repeated secondary violations by CU may be identified as a major violation.
- ▣ In the history of intercollegiate athletics at CU-Boulder, the University has managed **five major** infractions cases.
- ▣ Last major reported in June of 2007—Although we are not longer under the ‘5-year repeat violator’ shadow, be sure you always **ASK BEFORE YOU ACT!**